

JFW/2161

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 14886	
In Re Application Of: Kazuyoshi Tanaka						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
09/939,955	August 27, 2001	Unassigned	23389	2161	5430	
Title: DIGITAL CONTENT CREATOR/REPRODUCER AND ADVERTISEMENT DISTRIBUTION SYSTEM						
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14886

In Re Application: Kazuyoshi Tanaka

SEP 01 2004

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09/939,955	August 27, 2001	Unassigned	23389	2161	5430

DIGITAL CONTENT CREATOR/REPRODUCER AND ADVERTISEMENT DISTRIBUTION

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on August 30, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Paul J. Esatto, Jr.

Typed or Printed Name

*This certificate may only be used if paying by deposit account.

Dated: August 30, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kazuyoshi Tanaka **Docket:** 14886
Serial No.: 09/939,955 **Examiner:** Unassigned
Filed: August 27, 2001 **Group Art Unit:** 2161
For: DIGITAL CONTENT
CREATOR/REPRODUCER AND
ADVERTISEMENT DISTRIBUTION
SYSTEM **Dated:** August 30, 2004
Conf. No.: 5430

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application No. 11-306237, dated November 5, 1999.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 30, 2004.

Dated: August 30, 2004



Paul J. Esatto, Jr.

The reference listed above was cited in an Official Action dated July 27, 2004 received from the Japanese Patent Office. Applicant is submitting a copy of the above reference, together with a translation of the Examiner's comments regarding all of the references from the Official Action. Please note that the other reference cited in the Office Action, namely, International PCT Patent Application Publication No. WO 96/36163, dated November 14, 1996, was previously submitted in applicant's Information Disclosure Statement dated September 29, 2003. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'P. Esatto, Jr.', is written over the printed name.

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Docket Number (Optional)
14886

Application Number
09/939,955

Applicant(s)

Kazuyoshi Tanaka

Filing Date

August 27, 2001

Group Art Unit

2161

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		11-306237	11/5/1999	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.